

CARRIER INFORMATION

Example Transport Ltd

**PO Box 1
Edmonton
AB T6B 2X3
Canada**

NSC Number: AB000-0000

Profile Period Start: 2020 JUL 07

End: 2022 JUL 06

NOTE

The "Public Profile" includes a history of convictions, administrative penalties, inspections, and collisions provided to Alberta Transportation by law enforcement and other government agencies. Other information such as inspection facility certifications, audits, and notices documenting violations may also be included.

The Public Profile does not include detailed driver or personal information, such as personal information protected under the Freedom of Information and Protection of Privacy Act (FOIP).

The Public Profile may be used to review a carrier's safety information, and to identify carriers that pose an unacceptable risk to the public.

The Public Profile report can be a useful tool for shippers, insurance companies, and the public, as it provides an overview of a carrier's safety performance. When engaging with a carrier for the first time (for the purposes of a contract or other reasons), these groups are encouraged to request a Public Profile to gain a better understanding of the carrier's compliance to transportation safety regulations.

The actual description and coding of events are worded using criteria set by the Canadian Council of Motor Transport Administrators (CCMTA) and therefore may not be the same wording that appears on law enforcement documentation.

The carrier current compliance standing can be found in "Part 1 - Carrier Information". This will always reflect events that have occurred in the previous 12 months from the printed date regardless of the Profile Period Start and End Date.

All reasonable efforts have been taken to ensure the accuracy of the information in this report. If any information shown in the carrier profile is in question, the carrier should consult their records to verify any concerns. If there are data inaccuracies or inconsistencies, contact Alberta Transportation at (403) 755-6111 or by email to compliance.info@gov.ab.ca.

An Education Manual is available to assist carriers to better understand the transportation requirements to support compliance to transportation safety legislation. The Education Manual and other information regarding Alberta's

CARRIER INFORMATION

National Safety Code (NSC) program can be viewed on the internet at <https://www.alberta.ca/education-manual-for-commercial-carriers.aspx>

Date Printed: 2022 JUL 06

Requested By: MOTRISUI

SAMPLE

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PART 1 - CARRIER INFORMATION

12-month Report as of: 2022 JUL 06

Example Transport Ltd

PO Box 1

Edmonton

AB T6B 2X3

Canada

NSC Number: AB000-0000

MVID Number: 0000-00000

SAFETY FITNESS CERTIFICATE

Certificate Number:
000000000

Effective Date:
2022 JUN 24

Expiry Date
2022 JUL 12

Safety Fitness Rating: Satisfactory Unaudited

Operating Status: Federal

RISK FACTOR (R-Factor - Carrier must strive for the lowest score)

R-Factor Score: 0.921

Fleet Range: 5-9

Fleet Type: Truck

INDUSTRY MONITORING INFORMATION ON: 2022 JUN 30

For Fleet Range: 5-9

and for Fleet Type: TRK

Industry Average R-factor Score: 0.000

(Industry Average is calculated for carriers with one or more NSC events)

NSC MONITORING PROGRAM ON: 2022 JUN 30

R-Factor Score: 0.921

Carriers Monitoring Stage (1 to 4, 4 being the highest risk): 2

Monitoring Stage R-Factor threshold for Fleet Range: 5-9 and for Fleet type: TRK

Stage 1:	0.641 - 0.872
Stage 2:	0.873 - 1.018
Stage 3:	1.019 - 1.379
Stage 4:	1.380 and higher

Total number of carriers at the same stage or greater: 443

NSC carriers in Alberta with Safety Fitness Certificates: 15963

NSC FLEET SIZE ON: 2022 JUN 30

Average: 9

Current: 10

using MVIDS: 0000-00000

PART 2 - CONVICTION INFORMATION

NSC Number: AB000-0000

Carrier Name: Example Transport Ltd

Profile Period Start Date: 2020 JUL 07

Profile Period End Date: 2022 JUL 06

Date Printed: 2022 JUL 06

TOTALS:	DOCUMENTS:	3
	CONVICTIONS:	3
	ACTIVE POINTS:	3

CONVICTION NOTE

The information recorded in this Part reflects convictions. A "conviction" is an offence that has resulted in a conviction being registered in the courts. Events are shown in order of offence date, with the most recent date shown first.

Points ranging from 0 - 5 are assigned to a conviction depending on the severity of the offence, 5 being the most serious offence. As an example:

- Faulty lights, not resulting in out-of-service 1 point
- Speed, 21-30 km/hr over posted limit 2 points
- No permit or contravene conditions on permit 3 points
- Drive while disqualified 5 points

Conviction points, Administrative Penalty points, CVSA Failure Rate, Collision points over a 12 month period, and the carrier's average fleet size over a 24 month period are used to establish the carriers Risk Factor score (R-Factor). Alberta Transportation will intervene with those carriers with an unacceptable R-Factor score. Events will stay on the carrier profile report for 12 months from the conviction date, not the event date.

PART 2 - CONVICTION ANALYSIS

NSC Number: AB000-0000

Carrier Name: Example Transport Ltd

NUMBER OF CONVICTIONS	PERCENT OF TOTAL	GROUP DESCRIPTION
1	33.3 %	Speeding
		Stop signs/Traffic lights
1	33.3 %	Driver Liabilities (Licence, Insurance, Seat Belts, etc.)
		Driving (Passing, Disobey Signs, Signals, etc.)
		Hours of Service
		Trip Inspections
		Brakes
		CVIP
		Mechanical Defects
		Oversize/Overweight
		Security of Loads
		Dangerous Goods
		Criminal Code
		Permits
1	33.3 %	Miscellaneous
		Administrative Actions
3	100 %	TOTAL CONVICTIONS

PART 2 - CONVICTION SUMMARY

NSC Number: AB000-0000

Carrier Name: Example Transport Ltd

	DATE	DOCUMENT #	DOCKET #	JUR	VEHICLE	DRIVER NAME	ACTIVE POINTS
1	2021 MAY 09	TVT A89919421X	A89919421X	AB	000000 AB	Joe Smith	
			SEAT BELT VIOLATION		000000 AB		
2	2021 SEP 30	TVT A72329316X	A72329316X	AB	000000 AB	Joe Smith	
			SPEED 21-30 KM/H OVER		000000 AB		2
3	2021 DEC 09	TVT B25648943X	B25648943X	AB	000000 AB	Joe Smith	
			CONTRAVENTE SAFETY STAND.		000000 AB		1

*** END OF PART 2 ***

TOTAL PAGES: 3

PART 3 - ADMINISTRATIVE PENALTY INFORMATION

NSC Number: AB000-0000

Carrier Name: Example Transport Ltd

Profile Period Start Date: 2020 JUL 07

Profile Period End Date: 2022 JUL 06

Date Printed: 2022 JUL 06

TOTALS: ADMINISTRATIVE PENALTIES: 1
ACTIVE POINTS: 5

ADMINISTRATIVE PENALTY NOTE

The information recorded in this Part reflects administrative penalties, as issued under Alberta's Provincial Administrative Penalties Act.

An "administrative penalty" includes a fine and any other administrative consequence, including, without limitation, a sanction, restriction, prohibition, requirement, condition, suspension, disqualification or cancellation imposed on a person for contravention of a prescribed enactment, but does not include imprisonment.

An "administrative penalty" includes, without limitation,

- a failure to comply with a restriction, prohibition, requirement, rule, direction, order, term or condition imposed by or under a prescribed enactment,
- conduct that is subject to sanction under a prescribed enactment, and
- any other action prescribed as a contravention under the Provincial Administrative Penalties Act.

As of December 1, 2020, Alberta's impaired driving laws were strengthened with the introduction of the Immediate Roadside Sanctions (IRS) program that includes serious, immediate and escalating consequences for impaired drivers.

- As part of the Immediate Roadside Sanction (IRS) program, Alberta has strengthened its impaired driving laws.
- A new administrative penalty for commercial drivers has been introduced, IRS Zero Commercial, which takes a zero tolerance approach to drugs and alcohol for drivers who are operating a commercial vehicle.
- For purposes of section 88.02(1) of the Traffic Safety Act and issuance of the IRS Zero Commercial penalty, "operating a commercial vehicle" means operating a:
 - a. commercial vehicle or combination of commercial vehicles that is registered for a gross weight of more than 11 794 kilograms, or
 - b. commercial vehicle that has a manufacturer's seating capacity originally designed for 11 or more passengers, including the driver.

As of June 2022 month-end carrier monitoring, administrative penalties issued for impaired driving charges are included in the Risk Factor score (R-Factor).

PART 3 - ADMINISTRATIVE PENALTY INFORMATION

NSC Number: AB000-0000

Carrier Name: Example Transport Ltd

Events are shown in order of 'Issuance Date', with the most recent date shown first. Points ranging from 0 - 5 are assigned to an administrative penalty, depending on the severity of the offence, 5 being the most serious offence.

As an example: Drive while impaired 5 points

Conviction points, Administrative Penalty points, CVSA Failure Rate, Collision points over a 12 month period, and the carrier's average fleet size over a 24 month period are used to establish the carrier's Risk Factor score (R-Factor). Alberta Transportation will intervene with those carriers with an unacceptable R-Factor score. Events will stay on the carrier profile report for 12 months from the issuance date, not the event date.

PART 3 - ADMINISTRATIVE PENALTY ANALYSIS

NSC Number: AB000-0000

Carrier Name: Example Transport Ltd

NUMBER OF ADMINISTRATIVE PENALTIES

1

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SAMPLE

PART 3 - ADMINISTRATIVE PENALTY SUMMARY

NSC Number: AB000-0000

Carrier Name: Example Transport Ltd

OCCURENCE DATE	CONTRAVENTION #	VEHICLE	DRIVER NAME	Act/Section
2021 OCT 14 21:10	KA15583304X	000000 AB	Joe Smith	TSA88.02(2)(A) IRS ZERO COMMERCIAL 1ST OCCURRENCE

*** END OF PART 3 ***

TOTAL PAGES: 4

PART 4 - CVSA INSPECTION INFORMATION

NSC Number: AB000-0000

Carrier Name: Example Transport Ltd

Profile Period Start Date: 2020 JUL 07

Profile Period End Date: 2022 JUL 06

Date Printed: 2022 JUL 06

TOTALS:	PASSED:	1
	REQUIRED ATTENTION:	1
	OUT OF SERVICE:	2

CVSA INSPECTION NOTE

The information recorded in this Part reflects all Levels of inspections conducted by law enforcement agencies under the Commercial Vehicle Safety Alliance (CVSA) program. All levels of CVSA inspections (1 through 5) will be used to determine the carrier's out-of-service rate.

- Level 1 - is a complete inspection of vehicle and driver
- Level 2 - a walk around inspection of vehicle and driver
- Level 3 - is a driver inspection only
- Level 4 - is a one time examination of a particular item
- Level 5 - is a complete inspection of the vehicle only

A CVSA inspection will result in one of the following:

- Pass- no CVSA defect(s) found, decal issued
- Requires attention- defects found, none were out of service, no decal issued
- Out-of-Service- one or more out-of-service defects found, no decal issued

On Part 4 - CVSA Inspection Detail, Out Of Service defects (shown as an "O" on a CVSA Inspection Form) and Requires Attention defects (shown as an "X" on a CVSA Inspection Form) will be displayed separately. The number of defects detected will be displayed under the vehicle number and across from the defect category / description.

Conviction points, Administrative Penalty points, CVSA Failure Rate, Collision points over a 12 month period, and the carrier's average fleet size over a 24 month period are used to establish the carrier's Risk Factor score (R-Factor). Alberta Transportation will intervene with those carriers with an unacceptable R-Factor score.

CVSA Failure Rate is calculated as ratio of combined Out-of-Service inspection number with quarter of Required Attention inspection number to total number of CVSA inspections.

PART 4 - CVSA INSPECTION ANALYSIS

NSC Number: AB000-0000

Carrier Name: Example Transport Ltd

NUMBER OF OUT OF SERVICE	DEFECTS REQUIRES ATTENTION	TOTAL DEFECTS	PERCENT OF TOTAL	DEFECT CATEGORY / DESCRIPTION
	2	2	25.0 %	1 - Driver Credentials
1		1	12.5 %	2 - Hours Of Service
				3 - Brake Adjustment
				4 - Brake Systems
				5 - Coupling Devices
				6 - Exhaust Systems
				7 - Frames
				8 - Fuel Systems
1		1	12.5 %	9 - Lighting Devices (Part II Section 9 only)
1	2	3	37.5 %	10 - Cargo Securement
	1	1	12.5 %	11 - Steering Mechanisms
				12 - Suspensions
				13 - Tires
				14 - Van/Open-top Trailer Bodies
				15 - Wheels, Rims & Hubs
				16 - Windshield Wipers
				17 - Emergency Exits/Electrical System/Seating (Buses)
				18 - Dangerous Goods
				19 - Driveline/Driveshaft
				20 - Driver's Seat (Missing)
3	5	8	100 %	GRAND TOTAL DEFECTS

PART 4 - CVSA INSPECTION SUMMARY

NSC Number: AB000-0000

Carrier Name: Example Transport Ltd

	DATE	CVSA DOCUMENT	JUR	AGENCY	PLATE		LEVEL	RESULT
1	2021 JAN 27	CVR AB227834X	AB	GOVERNMENT ALBERTA - SHP - COCHRANE	000000	AB	1	Requires Attention
2	2021 JUL 16	CVR AB237911X	AB	GOVERNMENT ALBERTA - SHP - LEDUC	000000	AB	2	Out Of Service
3	2021 AUG 22	CVR AB239553X	AB	GOVERNMENT ALBERTA - SHP - ATMORE	000000	AB	1	Out Of Service
4	2021 SEP 03	CVR AB240141X	AB	GOVERNMENT ALBERTA - SHP - GRANDE PRAIRIE	000000	AB	2	Passed

*** END OF PART 4 ***

TOTAL PAGES: 3

PART 5 - COLLISION INFORMATION

NSC Number: AB000-0000

Carrier Name: Example Transport Ltd

Profile Period Start Date: 2020 JUL 07

Profile Period End Date: 2022 JUL 06

Date Printed: 2022 JUL 06

TOTALS:	NUMBER OF COLLISIONS	SUPPORTED	NOT SUPPORTED / NOT REVIEWED	ACTIVE POINTS
Property Damage:	1	0	1	2
Injury:	0	0	0	0
Fatal:	0	0	0	0

COLLISION NOTE

The information in this Part is based on collisions considered "reportable" by the jurisdiction in which they occurred. Collisions are displayed in the order in which they occurred with the most recent date shown first. Points will be assigned to each collision depending on the collision severity. The highest appropriate point value will be assigned to each collision.

- Property Damage 2 points
- Personal Injury 4 points
- Fatality 6 points

Conviction points, Administrative Penalty points, CVSA Failure Rate, Collision points over a 12 month period, and the carrier's average fleet size over a 24 month period are used to establish the carrier's Risk Factor score (R-Factor). Alberta Transportation will intervene with those carriers with an unacceptable R-Factor score.

Where a carrier has requested a collision review and the collision was determined to be "supported", the points will be removed from the Carrier Profile and the event will not be considered in determining the carrier's R-Factor score.

All reportable collisions appear on the profile as "not supported/not reviewed" with the appropriate corresponding point value unless determined to be "supported" through the collision review program. For information on how to apply for a collision review click on the following link: <https://www.alberta.ca/collision-evaluations.aspx>. Contact Alberta Transportation at compliance.collisions@gov.ab.ca or (403) 755-6111 with any additional questions.

PART 5 - COLLISION SUMMARY

NSC Number: AB000-0000

Carrier Name: Example Transport Ltd

DATE	DOCUMENT	JUR	PLATE	STATUS	RESULT	SERVERITY	POINTS
1 2021 DEC 01	1111111111	AB	000007	AB Not Reviewed		Damage	2

DRIVER:

*** END OF PART 5 ***

TOTAL PAGES: 2

PART 6 - VIOLATION INFORMATION

NSC Number: AB000-0000

Carrier Name: Example Transport Ltd

Profile Period Start Date: 2020 JUL 07

Profile Period End Date: 2022 JUL 06

Date Printed: 2022 JUL 06

TOTALS:	DOCUMENTS:	2
	OFFENCES:	5

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VIOLATION NOTE

The information recorded in this Part summarizes other violations documented by enforcement agencies.

Each "violation" is a contravention of an act or regulation.

No points will be assigned to violations listed under this part. Violations are not used in determining a carriers Risk Factor.

PART 6 - VIOLATION ANALYSIS

NSC Number: AB000-0000

Carrier Name: Example Transport Ltd

NUMBER OF VIOLATIONS	PERCENT OF TOTAL	GROUP DESCRIPTION
3	60.0 %	Speeding Stop signs/Traffic lights Driver Liabilities (Licence, Insurance, Seat Belts, etc.) Driving (Passing, Disobey Signs, Signals, etc.) Hours of Service Trip Inspections
1	20.0 %	Brakes CVIP
1	20.0 %	Mechanical Defects Oversize/Overweight Security of Loads Dangerous Goods Criminal Code Permits Miscellaneous Administrative Actions
5	100 %	TOTAL VIOLATIONS

PART 6 - VIOLATION SUMMARY

NSC Number: AB000-0000

Carrier Name: Example Transport Ltd

DATE	DOCUMENT	JUR	VEHICLE	DRIVER NAME
2021 JUL 16	TVR 143908XX	AB	000000 BC	Joe Smith
1	UNREGISTERED MOTOR VEH.			
2021 JUL 16	TVR 143908XX	AB	000000	Joe Smith
2	FALSE OR NO INFO PROVIDED			
2021 AUG 22	TVR 144925XX	AB	000000 AB	Joe Smith
3	000000 AB	IMPROPER BRAKES - OOS		Joe Smith
2021 AUG 22	TVR 144925XX	AB	000000	Joe Smith
4	000000 AB	AB INADEQUATE EQUIP. NOT OOS		Joe Smith
2021 AUG 22	TVR 144925XX	AB	000000 AB	Joe Smith
5	FAIL TO PRODUCE DOCUMENT			

*** END OF PART 6 ***

TOTAL PAGES: 3

PART 7 - MONITORING INFORMATION

NSC Number: AB000-0000

Carrier Name: Example Transport Ltd

Profile Period Start Date: 2020 JUL 07

Profile Period End Date: 2022 JUL 06

Date Printed: 2022 JUL 06

MONITORING NOTE

Alberta Transportation considers conviction, administrative penalty, CVSA inspection, collision and audit information when determining a carriers safety fitness rating.

Through the Carrier Profile system, conviction, administrative penalty, CVSA inspection and collision information is analyzed and combined to determine a carriers Risk Factor score (R-Factor). Each area's contribution to the R-Factor can be viewed on the "Monitoring Summary" page.

Alberta's National Safety Code monitoring program is designed to identify and intervene with 5% of bus and truck carriers that have the worst R-Factor. In establishing which 5% of carriers make up the department's monitoring list, fleet type (bus or truck) and fleet size are considered. Using this method carriers are compared with others that have similar fleet types and fleet ranges.

Once on the department's monitoring list, the carriers R-Factor score is further evaluated to determine what monitoring stage they are at. Monitoring stages 1 through 4 have been established, 4 being the stage that represents the greatest risk to the public. Alberta Transportation will intervene in a progressive manner with carriers on the monitoring list in an effort to create positive change within their operation.

The monthly compliance data shown in the Monitoring Part is only for the months between the Profile Period Start and End Dates. Carriers may find it valuable to review this page to establish if the contributions of the convictions, CVSA inspections and collisions to the R-Factor score are rising, declining or staying the same. This type of information may assist carriers in evaluating the effectiveness of their safety and maintenance programs.

Alberta PUBLIC PROFILE

PART 7 - MONITORING SUMMARY

NSC Number: AB000-0000

Carrier Name: Example Transport Ltd

MONTH- END	< — — FLEET INFORMATION — — >						< — — — — — R-FACTOR — — — — — >				MONITORING
DATE	TYPE	TRK%	BUS%	AVG	CUR	SCORE	CONV%	ADMIN%	INSP%	COLL%	STAGE
2022 Jun	TRK	100	0	9	10	0.921	32.4	54.1	0.0	13.5	02
2022 May	TRK	100	0	9	10	0.622	80.0	0.0	0.0	20.0	
2022 Apr						No Data					
2022 Mar	TRK	100	0	9	10	0.622	80.0	0.0	0.0	20.0	
2022 Feb	TRK	100	0	9	10	0.622	80.0	0.0	0.0	20.0	
2022 Jan	TRK	100	0	9	10	0.622	80.0	0.0	0.0	20.0	
2021 Dec	TRK	100	0	9	10	0.199	100.0	0.0	0.0	0.0	
2021 Nov	TRK	100	0	9	10	0.199	100.0	0.0	0.0	0.0	
2021 Oct	TRK	100	0	8	9	0.224	100.0		0.0	0.0	
2021 Sep	TRK	100	0	8	9	0.224	100.0		0.0	0.0	
2021 Aug	TRK	100	0	8	9	0.224	100.0		0.0	0.0	
2021 Jul	TRK	100	0	8	9	0.224	100.0		0.0	0.0	
2021 Jun	TRK	100	0	8	8	0.224	100.0		0.0	0.0	
2021 May	TRK	100	0	8	8	0.224	100.0		0.0	0.0	
2021 Apr	TRK	100	0	8	8	0.224	100.0		0.0	0.0	
2021 Mar	TRK	100	0	8	9	0.224	100.0		0.0	0.0	
2021 Feb	TRK	100	0	7	9	0.256	100.0		0.0	0.0	
2021 Jan	TRK	100	0	7	8	0.256	100.0		0.0	0.0	
2020 Dec	TRK	100	0	7	9	No Data					
2020 Nov	TRK	100	0	7	9	No Data					
2020 Oct	TRK	100	0	7	8	No Data					
2020 Sep	TRK	100	0	7	8	No Data					
2020 Aug	TRK	100	0	6	8	0.299	100.0		0.0	0.0	
2020 Jul	TRK	100	0	6	8	0.299	100.0		0.0	0.0	

INDUSTRY MONITORING INFORMATION ON 2022 JUN 30

For Fleet Range: 5-9 and for Fleet type: TRK

Industry Average R-Factor Score: 0.000

(Industry Average is calculated for NSC carriers with and without safety events)

Monitoring Stage R-Factor threshold for Fleet Range: 5-9

Stage 1: 0.641 - 0.872

Stage 2: 0.873 - 1.018

Stage 3: 1.019 - 1.379

Stage 4: 1.380 and higher

*** END OF PART 7 ***

TOTAL PAGES: 2

PART 8 - FACILITY AUDIT INFORMATION

NSC Number: AB000-0000

Carrier Name: Example Transport Ltd

Profile Period Start Date: 2020 JUL 07

Profile Period End Date: 2022 JUL 06

Date Printed: 2022 JUL 06

TOTAL FACILITY AUDITS: 0

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AUDIT INFORMATION NOTE

Part 8 includes data on facility audits that are complete and accepted by Alberta Transportation. In accordance with National Safety Code Standard 15, a facility audit is designed to review a carrier's on-highway and safety management activities in considerable detail. During a facility audit, a Public Safety Investigator or a certified Third Party Auditor examines the carrier's records and reports on demonstrated compliance with the safety standards of the National Safety Code program, and its related legislation as implemented in Alberta.

The facility audit report provides Alberta Transportation with an understanding of the carrier's degree of compliance. In conjunction with a facility audit report, each carrier's Safety Fitness Rating is evaluated through a review of the following:

- convictions
- collisions
- Commercial Vehicle Safety Alliance inspections

For more information on audits, investigations, complaints and interventions actions, go to:

<https://www.alberta.ca/audits-and-investigations-commercial-carriers.aspx>. Should you have any questions contact Alberta Transportation at 403-755-6111 (toll free in Alberta by first dialing 310-0000), or email to compliance.info@gov.ab.ca.

PART 8 - FACILITY AUDIT SUMMARY

NSC Number: AB000-0000

Carrier Name: Example Transport Ltd

START DATE

END DATE

STATUS

No Facility Audit on Record for period selected

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*** END OF PART 8 ***

TOTAL PAGES: 2

SAMPLE