### The Carrier Risk-Factor Monitoring Program

Frequently Asked Questions

### Overview

Provinces and territories in Canada are responsible for the oversight, monitoring, and intervention for National Safety Code (NSC) carriers in their jurisdiction. Alberta Transportation monitors carriers' safety using their on-road history of convictions, contraventions, Commercial Vehicle Safety Alliance (CVSA) inspections, and reportable collisions. These details are recorded on each carrier's Carrier Profile.

Alberta Transportation utilizes several methods to ensure the safety and compliance of commercial motor carriers on Alberta's highways. The Carrier Risk-Factor Monitoring Program captures on-road event data for the carrier profile and provides a score to help identify carriers with the greatest risk of potential non-compliance to transportation safety laws.

Alberta Transportation strives to intervene with 5 per cent of the carriers that present the highest risk to the public based on a carrier's Risk-Factor Score.

#### What is a Risk-Factor Score?

A Risk-Factor Score determines a carrier's potential safety risk to their drivers and to the general public. The Risk-Factor Score is based on on-road event data regarding convictions, collisions, and inspection results within the previous 12 months. There are four levels of risk monitoring from 1 to 4. A higher score indicates that the carrier poses a higher safety risk to other highway users.

#### Have Risk-Factor Score calculations changed?

In the fall of 2021, the changes to the monitoring thresholds and performance metric formulas were adjusted to achieve 5 per cent of total NSC carriers on-monitoring, to account for the smaller active NSC carrier base and to align with current program policies.

The actual calculation of the Risk-Factor Score has not changed. There were no changes to safety event constants/weightings, fleet groupings, conviction point values, etc., which would constitute risk factor scoring changes.

### What does this mean for Alberta regulated carriers?

The 5 per cent performance monitoring metric means that the top 5 per cent of the commercial motor carriers that pose

the highest potential safety risk will be monitored by Alberta Transportation to ensure they are operating within transportation safety laws.

If a carrier is identified on stage 1 or 2 a warning letter is sent, however, there is no enforcement action taken. If a carrier is identified on stage 3 or 4, a condition is placed on the carrier's Safety Fitness Certificate requiring a facility audit.

Instructions, educational material, and other important information to help prepare for an audit are provided in the letter, including how to request a Registrar's Reconsideration.

# Why am I being put on monitoring now for infractions that occurred months ago?

The Carrier Risk-Factor Monitoring Program is a dynamic measurement, which adapts to changes in the number of active participants in Alberta's NSC program. The Monitoring Program looks at all carriers' on-road event history each month, over a rolling 12 month period.

Due to the reduction in active NSC carriers in Alberta and smaller data group, carriers with on-road event data on their Carrier Profile from the past 12 months who were operating narrowly beyond acceptable performance levels, may now fall within the top 5 per cent performance monitoring threshold.

#### How can I get a copy of a Carrier Profile?

Only the carrier named in the Carrier Profile, or an enforcement agency, can obtain a copy of the full profile information.

Every carrier operating NSC vehicles registered in Alberta has a Carrier Profile. If this carrier also has NSC vehicles registered in another jurisdiction, then that jurisdiction will issue a separate Safety Fitness Certificate and maintain a Carrier Profile which identifies profile events relative to the NSC vehicles plated in that jurisdiction.

All carriers are encouraged to register and obtain their Carrier Profile online. To register, go to https://www.trans.gov.ab.ca/TravisWebLogin/redirect.htm

If no access to the internet is available, mail or fax a written request to:

Alberta Transportation – Carrier and Vehicle Safety 4th Floor Provincial Building 4920 51 Street, Red Deer, AB T4N 6K8 Fax: 403 340-4806

## Where can I find information about Alberta's Carrier Profile and monitoring program?

Visit the Alberta Transportation website.

Email Compliance.info@gov.ab.ca or call 403-755-6111.