

Filing requirements of insurance entities supervised by other regulators

Updated April 1, 2021

All Insurance Entities licensed in the Province of Alberta, but either supervised by the Office of the Superintendent of Financial Institutions (“OSFI”) or by another provincial regulator, must file a number of documents on an annual basis in order to fulfill the statutory requirements of the Alberta Superintendent of Insurance (“Superintendent”). Pursuant to sections 43 and 46 (Insurers) or 91, 99, and 100 (Reciprocal Insurance Exchanges) of the *Insurance Act* (the “Act”), Insurers¹ and Reciprocal Insurance Exchanges licensed in the Province of Alberta, but supervised by OSFI or another provincial regulator, must file the annual requirements noted below.

The Superintendent only accepts electronic filings. [Click here for the website.](#) As such; all inquiries relating to these filings should be addressed to Prudential Supervision at tbf.insurance-licens@gov.ab.ca or 780-415-6496.

¹ As defined in section 1(ff) of the Act

Due Date	Documentation Required	Extra-Provincial Insurance Entities	Federal Insurance Entities
Within 180 days after the end of the financial year	Audited Financial Statements including: <ul style="list-style-type: none"> • Auditor’s Report to the Superintendent; and • Auditor’s Report for: <ul style="list-style-type: none"> - Minimum Capital Test (“MCT”); or - Life Insurance Capital Adequacy Test (“LICAT”). 	PDF Preferred	PDF Preferred
Within 60 days after the end of the financial year	P&C or Life & LICAT Return and Annual Supplement	ACSII and Special Excel	Filing with OSFI satisfies the Superintendent’s requirements
Within 60 days after the end of the financial year	Signed Affidavit Verifying Annual Return	PDF Preferred	Filing with OSFI satisfies the Superintendent’s requirements
Within 60 days after the end of the financial year	Reserve & Guarantee Fund Worksheet Only for Licensed Reciprocal Insurance Exchanges	PDF Preferred, if applicable	PDF Preferred, if applicable